



**UPDATE TO COMPETITIVE ELECTRIC POWER SUPPLIER REGISTRATION
NOTICE OF NAME CHANGE
ENGIE Resources LLC – Docket No.: DM 15-468**

ATTACHMENT 1

LEGAL NAME OF APPLICANT

Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THAT THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF CONVERSION OF A DELAWARE CORPORATION UNDER THE NAME OF "ENGIE RESOURCES INC." TO A DELAWARE LIMITED LIABILITY COMPANY, CHANGING ITS NAME FROM "ENGIE RESOURCES INC." TO "ENGIE RESOURCES LLC", FILED IN THIS OFFICE ON THE TWENTY-EIGHTH DAY OF JUNE, A.D. 2016, AT 5:31 O`CLOCK P.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF CONVERSION IS THE THIRTIETH DAY OF JUNE, A.D. 2016.




Jeffrey W. Bullock, Secretary of State

3402518 8100V
SR# 20164689691

Authentication: 202581025
Date: 06-29-16

You may verify this certificate online at corp.delaware.gov/authver.shtml

**CERTIFICATE OF CONVERSION
TO LIMITED LIABILITY COMPANY**

State of Delaware
Secretary of State
Division of Corporations
Delivered 05:31 PM 06/28/2016
FILED 05:31 PM 06/28/2016
SR 20164689691 - File Number 3402518

OF

ENGIE RESOURCES INC.

PURSUANT TO SECTION 18-214 OF THE DELAWARE LIMITED LIABILITY COMPANY ACT

This Certificate of Conversion to Limited Liability Company ("Certificate of Conversion") of ENGIE Resources Inc., a Delaware corporation (the "Corporation"), to ENGIE Resources LLC, a Delaware limited liability company (the "LLC"), has been duly executed and is being filed by the Corporation to convert the Corporation to the LLC pursuant to and in accordance with the Delaware Limited Liability Company Act, as amended from time to time, and the General Corporation Law of the State of Delaware.

1. The Corporation filed its original certificate of incorporation with the Secretary of State of the State of Delaware and was first incorporated on June 12, 2001 under the name Tracetbel Energy Services, Inc. in the State of Delaware, and continued to be incorporated in the State of Delaware immediately prior to the filing of this Certificate of Conversion to Limited Liability Company.
2. The Corporation's name immediately prior to the filing of this Certificate of Conversion was ENGIE Resources Inc. The Corporation was a corporation immediately prior to the filing of this Certificate of Conversion.
3. The name of the limited liability company to which the Corporation shall be converted as set forth in its Certificate of Formation is "ENGIE Resources LLC".
4. The conversion of the Corporation to the LLC shall be effective upon June 30, 2016.

[signature page follows]

IN WITNESS WHEREOF, the undersigned has executed this Certificate of Conversion on the 28th day of June 2016.

ENGIE Resources Inc.



By: Rachel W. Kilpatrick
Name: Rachel W. Kilpatrick
Title: Vice President

Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE DO HEREBY CERTIFY THAT THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF FORMATION OF "ENGIE RESOURCES LLC" FILED IN THIS OFFICE ON THE TWENTY-EIGHTH DAY OF JUNE, A.D. 2016, AT 5:31 O'CLOCK P.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF FORMATION IS THE THIRTIETH DAY OF JUNE, A.D. 2016.



3402518 8100V
SR# 20164689691

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A handwritten signature in black ink, appearing to read "JBULLOCK", is written over a horizontal line. Below the line, the text "Jeffrey W. Bullock, Secretary of State" is printed.

Jeffrey W. Bullock, Secretary of State

Authentication: 202581025
Date: 06-29-16

CERTIFICATE OF FORMATION

OF

ENGIE RESOURCES LLC

FIRST. The name of the limited liability company (the "Company") is:

"ENGIE Resources LLC"

SECOND. The address of the Company's registered office in the state of Delaware is 1675 S. State St., Suite B, Dover, Kent County, Delaware 19901. The name of the Company's registered agent at such address is Capitol Services, Inc.

[signature page follows]

State of Delaware
Secretary of State
Division of Corporations
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SR 20164689691 - File Number 3402518

WEIL:057585212147124.0023

IN WITNESS WHEREOF, the undersigned has executed this Certificate of Formation
this 28th day of June 2016.

The Certificate of Formation shall be effective on June 30, 2016.



By: Rachel W. Kilpatrick
Name: Rachel W. Kilpatrick
Title: Authorized Person

Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "ENGIE RESOURCES LLC" IS DULY FORMED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE SIXTH DAY OF JULY, A.D. 2016.

AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "ENGIE RESOURCES LLC" WAS FORMED ON THE TWELFTH DAY OF JUNE, A.D. 2001.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL TAXES HAVE BEEN PAID TO DATE.

RECEIVED
SECRETARY OF STATE
CORPORATIONS DIV
2016 JUL -6 PM 2:55



3402518 8300

SR# 20164787170

You may verify this certificate online at corp.delaware.gov/authver.shtml


Jeffrey W. Bullock, Secretary of State

Authentication: 202610024

Date: 07-06-16



State of New Hampshire

Department of State Corporation Division

603-271-3246



Enclosed is the acknowledgment copy of your Certified Copy of Conversion. It acknowledges this office's receipt and filing of your documents.

Should you have any questions, you may contact the Corporation Division at the above number or email us at corporate@sos.state.nh.us. Please reference your Business ID # located in the filed section of the enclosed acknowledgement copy of Certified Copy of Conversion.

Please visit our website for helpful information regarding all your business needs.

Regards,

New Hampshire Department of State
Corporation Division

Business ID#: 462173

Delaware

The First State

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State of New Hampshire
Certify Copy of Conversion 6 Page(s)



T1620044010




Jeffrey W. Bullock, Secretary of State

3402518 8100V
SR# 20164689691

Authentication: 202581025
Date: 06-29-16

**CERTIFICATE OF CONVERSION
TO LIMITED LIABILITY COMPANY**

OF

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{signature page follows}

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ENGIE Resources Inc.



By: Rachel W. Kilpatrick
Name: Rachel W. Kilpatrick
Title: Vice President

Delaware

The First State

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OF

ENGIE RESOURCES LLC

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SECOND. The address of the Company's registered office in the state of Delaware is 1675 S. State St., Suite B, Dover, Kent County, Delaware 19901. The name of the Company's registered agent at such address is Capitol Services, Inc.

[signature page follows]

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this 28th day of June 2016.

The Certificate of Formation shall be effective on June 30, 2016.



By: Rachel W. Kilpatrick
Name: Rachel W. Kilpatrick
Title: Authorized Person



State of New Hampshire

Department of State

Corporation Division

603-271-3246



Enclosed is the acknowledgment copy of your Application for Registration as a Foreign Limited Liability Company. It acknowledges this office's receipt and filing of your documents.

Should you have any questions, you may contact the Corporation Division at the above number or email us at corporate@sos.state.nh.us. Please reference your Business ID # located in the filed section of the enclosed acknowledgement copy of Application for Registration as a Foreign Limited Liability Company.

Please visit our website for helpful information regarding all your business needs.

Regards,

New Hampshire Department of State
Corporation Division

Business ID#: 462173

Mailing address - 107 North Main Street, State House room 204, Concord, N.H. 03301-4989

Physical Location - 25 Capitol Street, State House Annex - 3rd Floor, Concord NH

Forms on Web - www.nh.gov/sos/corporate

State of New Hampshire

Filing fee: \$100.00
Use black print or type.

Form FLLC-1
RSA 304-C:175

APPLICATION FOR REGISTRATION AS A FOREIGN LIMITED LIABILITY COMPANY

PURSUANT TO THE PROVISIONS of the New Hampshire Limited Liability Company laws, the undersigned hereby applies for registration to transact business in New Hampshire and for that purpose submits the following statement:

FIRST: The name of the limited liability company is

ENGIE Resources LLC

SECOND: The name which it proposes to register and do business in New Hampshire is

ENGIE Resources LLC

Principal Business Information:

Principal Office Address: 1990 Post Oak Boulevard, Suite 1900, Houston, TX 77056
(no. & street) (city/town) (state) (zip code)

Principal Mailing Address (if different): _____
(no. & street) (city/town) (state) (zip code)

Business Phone: 713-636-0000

Business Email: None

Please check if you would prefer to receive the Annual Report Reminder Notice by email.

THIRD: It is formed under the laws of Delaware

FOURTH: The date of its formation is June 12, 2001

FIFTH: Describe the nature of the business or purposes to be conducted or promoted in New Hampshire (and if known, list the NAICS Code and Sub Code):

To engage in retail energy services to industrial and commercial customers in North America.

SIXTH: The name of its registered agent in New Hampshire is:

Capitol Corporate Services, Inc.

The complete address of its registered office IN NEW HAMPSHIRE (agent's business address) is:

1 Old Loudon Rd Concord NH 03301
(no. & street) (city/town) (state) (zip code)



*Signature: Rachel W Kilpatrick *TK*

Print or type name: Rachel W. Kilpatrick

Title: Authorized Person

Date signed: July 12, 2016

Complete address of person signing: 1990 Post Oak Boulevard, Suite 1900
Houston, TX 77056

Note: The sale or offer for sale of membership interests of the limited liability company will comply with the requirements of the New Hampshire Uniform Securities Act (RSA 421-B). The membership interests of the limited liability company: 1) have been registered or when offered will be registered under RSA 421-B; 2) are exempted or when offered will be exempted under RSA 421-B; 3) are or will be offered in a transaction exempted from registration under RSA 421-B; 4) are not securities under RSA 421-B; OR 5) are federal covered securities under RSA 421-B. The statement above shall not by itself constitute a registration or a notice of exemption from registration of securities within the meaning of sections 448 and 461(i)(3) of the United States Internal Revenue Code and the regulation promulgated thereunder.

* Shall be executed on behalf of the foreign limited liability company by a person with authority to do so under the laws of the state or other jurisdiction of its formation, or, if the foreign limited liability company is in the hands of a receiver, executor, or other court appointed fiduciary, trustee, or other fiduciary, it must be signed by that fiduciary.

DISCLAIMER: All documents filed with the Corporation Division become public records and will be available for public inspection in either tangible or electronic form.

Mailing Address - Corporation Division, NH Dept. of State, 107 N Main St, Rm 204, Concord, NH 03301-4989
Physical Location - State House Annex, 3rd Floor, Rm 317, 25 Capitol St, Concord, NH



**UPDATE TO COMPETITIVE ELECTRIC POWER SUPPLIER REGISTRATION
NOTICE OF NAME CHANGE
ENGIE Resources LLC – Docket No.: DM 15-468**

EXHIBIT 1

Officers & Directors



**UPDATE TO COMPETITIVE ELECTRIC POWER SUPPLIER REGISTRATION
NOTICE OF NAME CHANGE
ENGIE Resources LLC – Docket No.: DM 15-468**

[Pursuant to NH Code Admin R. Ann. PUC 2006.01]

Exhibit 1

OFFICERS & DIRECTORS OF ENGIE RESOURCES LLC

Sayun Sukduang

President & CEO
1990 Post Oak Blvd, Suite 1900
Houston, TX 77056
T: 713-636-0000
F: 713-636-1601
Email: sayun.sukduang@na.engie.com

John Henderson

Senior Vice President
1990 Post Oak Blvd, Suite 1900
Houston, TX 77056
T: 713-636-1146
F: 713-636-1601
Email: john.henderson@na.engie.com

Suthiwong Kongsiri

Vice President & Treasurer
1990 Post Oak Blvd, Suite 1900
Houston, TX 77056
T: 713-636-1512
F: 713-636-1601
Email: suthiwong.kongsiri@na.engie.com

Ray Cunningham

Vice President, General Counsel & Secretary
1990 Post Oak Blvd, Suite 1900
Houston, TX 77056
T: 713-636-1980
F: 713-636-1601
Email: ray.cunningham@na.engie.com



David Grigsby

Vice President & Assistant Treasurer
1990 Post Oak Blvd, Suite 1900
Houston, TX 77056
T: 713-636-1571
F: 713-636-1601
Email: david.grigsby@na.engie.com

Rachel W. Kilpatrick

Vice President & Assistant Secretary
1990 Post Oak Blvd, Suite 1900
Houston, TX 77056
T: 713-636-0000
F: 713-636-1601
Email: Rachel.kilpatrick@na.engie.com

Brenda Bayer

Vice President
1990 Post Oak Blvd, Suite 1900
Houston, TX 77056
T: 713-636-1253
F: 713-636-1601
Email: Brenda.bayer@na.engie.com

Graham Leith

Vice President, Sales
1990 Post Oak Blvd, Suite 1900
Houston, TX 77056
T: 713-636-1707
F: 713-636-1601
Email: Graham.leith@na.engie.com

John "J.D." Burrows

Vice President, Marketing
1990 Post Oak Blvd, Suite 1900
Houston, TX 77056
T: 713-636-1390
F: 713-636-1601
Email: jdburrows@na.engie.com



Vikram Kulkarni

Vice President, Solar
1990 Post Oak Blvd, Suite 1900
Houston, TX 77056
T: 713-636-1272
F: 713-636-1601
Email: vikram.kulkarni@na.engie.com

Bill Jordan

Vice President, Supply
1990 Post Oak Blvd, Suite 1900
Houston, TX 77056
T: 713-636-1622
F: 713-636-1601
Email: bill.jordan@na.engie.com

Douglas Stein

Vice President, Business Control
1990 Post Oak Blvd, Suite 1900
Houston, TX 77056
T: 713-636-1025
F: 713-636-1601
Email: douglas.stein@na.engie.com

H. Joon Chun

Vice President, Operations
1990 Post Oak Blvd, Suite 1900
Houston, TX 77056
T: 713-636-1269
F: 713-636-1601
Email: joon.chun@na.engie.com



**UPDATE TO COMPETITIVE ELECTRIC POWER SUPPLIER REGISTRATION
NOTICE OF NAME CHANGE
ENGIE Resources LLC – Docket No.: DM 15-468**

ATTACHMENT 2

LISTING OF FORMAL COMPLAINTS



**STATE OF NEW HAMPSHIRE
PUBLIC UTILITIES COMMISSION**

**UPDATE TO COMPETITIVE ENERGY SUPPLIER REGISTRATION
[Pursuant to NH Code Admin R. Ann. PUC 2006.01]**

ATTACHMENT 2

LISTING OF FORMAL COMPLAINTS

Customer	Jurisdiction	Nature of Complaint / Status
Bob Martin's Bar	MA DPU	The customer alleged that a broker made misleading statements to him. While the complaint did not allege that ENGIE did any misdeeds, ENGIE supplemented its response with the broker's response. The complaint was dismissed by the MA DPU.
Kepeco Power	NY PSC	The customer was under service with ENGIE when the customer alleged that another competitive supplier had slammed the customer and cancelled ENGIE's service. The customer requested that ENGIE reinstate its service. ENGIE was unable to reinstate the customer's service because the contract was scheduled to end before we could reinstate the customer, but ENGIE did not assess a termination fee.
Martin's Mobile Glass	PUCO	The customer filed a complaint because she believed she may have been the victim of identity theft or fraud. When she called to rescind her agreement she referenced that she had been contacted by a broker. This broker was a sub-agent of a broker with whom ENGIE has a relationship, which caused some confusion during her initial call. ENGIE contacted the customer to explain the relationship between the parties. The customer was satisfied with the response and did not have any further complaints or questions.
Asbury United Church	PA PUC	The customer signed a contract with another competitive supplier that overlapped the term of its contract with ENGIE. The customer requested that ENGIE terminate its contract. The customer's complaint requested that we waive the damages. ENGIE informed the customer that we would apply an early termination fee if we cancelled the agreement. The customer filed a complaint, which was dismissed without a finding against ENGIE.
Al Madina LLC	PA PUC	The customer cancelled its fixed-price energy contract prior to its term, without justification. The customer requested that we waive the early termination fee. ENGIE informed the customer that we would not do so. The customer filed a complaint, which was dismissed without a finding against ENGIE.